

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L29110UP1995PLC041834

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACT4550H

(ii) (a) Name of the company

TRIVENI TURBINE LIMITED

(b) Registered office address

A-44, HOSIERY COMPLEX  
PHASE-II EXTENSION  
NOIDA  
Uttar Pradesh  
201305

(c) \*e-mail ID of the company

shares.ttl@trivenigroup.com

(d) \*Telephone number with STD code

01204748000

(e) Website

www.triveniturbines.com

(iii) Date of Incorporation

27/06/1995

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U74210DL1991PLC042569

Pre-fill

Name of the Registrar and Transfer Agent

ALANKIT ASSIGNMENTS LIMITED

Registered office address of the Registrar and Transfer Agents

205-208ANARKALI COMPLEX  
JHANDEWALAN EXTENSION

(vii) \*Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 23/08/2022

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C10	Electrical equipment, General Purpose and Special purpose Machinery & equipment,	87.85
2	C	Manufacturing	C12	Repair & installation of machinery & equipment, motor vehicles	12.15

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

5

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	TRIVENI ENERGY SOLUTIONS L	U29253KA2010PLC053834	Subsidiary	100

2	TRIVENI TURBINES EUROPE PRI		Subsidiary	100
3	TRIVENI TURBINES DMCC DUB,		Subsidiary	100
4	TRIVENI TURBINES AFRICA (PT)		Subsidiary	100
5	TSE Engineering (Pty) Ltd		Subsidiary	70

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	450,000,000	323,305,484	323,305,484	323,305,484
Total amount of equity shares (in Rupees)	450,000,000	323,305,484	323,305,484	323,305,484

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	450,000,000	323,305,484	323,305,484	323,305,484
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	450,000,000	323,305,484	323,305,484	323,305,484

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	5,000,000	0	0	0
Total amount of preference shares (in rupees)	50,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	5,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	50,000,000	0	0	0

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
<b>At the beginning of the year</b>	86,801	323,218,683	323305484	323,305,484	323,305,484	
<b>Increase during the year</b>	0	0	0	12,701	12,701	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				12,701	12,701	
Demat						
<b>Decrease during the year</b>	0	0	0	12,701	12,701	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				12,701	12,701	
Demat						
<b>At the end of the year</b>	86,801	323,218,683	323305484	323,305,484	323,305,484	
<b>Preference shares</b>						

<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify				0	0	
Not Applicable						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
Not applicable						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE152M01016

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input style="width: 100%;" type="text"/>	
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 100px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 100px;" type="text"/>
Ledger Folio of Transferor		<input style="width: 100%;" type="text"/>	
Transferor's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input style="width: 100%;" type="text"/>	
Transferee's Name	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>	<input style="width: 150px;" type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		<input style="width: 100%;" type="text"/>	
Type of transfer	<input style="width: 50px;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width: 100px;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width: 100px;" type="text"/>

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>	<input type="text"/>		<input type="text"/>		<input type="text"/>

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

(i) Turnover

81,113,659,702

(ii) Net worth of the Company

7,711,953,756

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	38,198,754	11.82	0	
	(ii) Non-resident Indian (NRI)	23,386,813	7.23	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	157,557,244	48.73	0	
10.	Others	0	0	0	
	<b>Total</b>	219,142,811	67.78	0	0

**Total number of shareholders (promoters)**

11

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage



1.	Individual/Hindu Undivided Family				
	(i) Indian	10,189,246	3.15	0	
	(ii) Non-resident Indian (NRI)	438,632	0.14	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	26,004	0.01	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	53,012,570	16.4	0	
7.	Mutual funds	38,778,068	11.99	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,580,459	0.49	0	
10.	Others IEPF ,CLEARING MEMBER	137,694	0.04	0	
	<b>Total</b>	104,162,673	32.22	0	0

Total number of shareholders (other than promoters) 49,089

Total number of shareholders (Promoters+Public/  
Other than promoters) 49,100

(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company

62

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
THE EMERGING MARK	CITIBANK N.A. CUSTODY SERVICES			402,479	0.12
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES			637,300	0.2
EMERGING MARKETS :	CITIBANK N.A. CUSTODY SERVICES			39,003	0.01
UTAH STATE RETIREM	CITIBANK N.A. CUSTODY SERVICES			7,380	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
JUPITER INDIA FUND	CITIBANK N.A. CUSTODY SERVICES			2,554,962	0.79
CITY OF LOS ANGELES	CITIBANK N.A. CUSTODY SERVICES			7,595	0
OPSEU PENSION PLAN	CITIBANK N.A. CUSTODY SERVICES			71,008	0.02
WORLD EX U.S. CORE I	CITIBANK N.A. CUSTODY SERVICES			9,284	0
MORGAN STANLEY AS	CITIBANK N.A. CUSTODY SERVICES			15,554	0
EMERGING MARKETS S	CITIBANK N.A. CUSTODY SERVICES			15,426	0
EMERGING MARKETS T	CITIBANK N.A. CUSTODY SERVICES			8,371	0
BOFA SECURITIES EUR	CITIBANK N.A. CUSTODY SERVICES			5,833	0
INVESCO INTERNATIO	CITIBANK N.A. CUSTODY SERVICES			12,014,057	3.72
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES			168	0
INVESCO INTERNATIO	CITIBANK N.A. CUSTODY SERVICES			55,102	0.02
TEACHERS' RETIREMEN	CITIBANK N.A. CUSTODY SERVICES			16,433	0.01
DIMENSIONAL WORL	CITIBANK N.A. CUSTODY SERVICES			34,864	0.01
JUPITER SOUTH ASIA I	CITIBANK N.A. CUSTODY SERVICES			555,918	0.17
EMERGING MARKETS I	CITIBANK N.A. CUSTODY SERVICES			5,786	0
BNS ASIA LIMITED	HSBC SECURITIES SERVICES 11TH F			1,545	0
CUSTODY BANK OF JA	HSBC SECURITIES SERVICES 11TH F			167,639	0.05
THE NORTH CAROLIN	HSBC SECURITIES SERVICES 11TH F			44,026	0.01
PUBLIC EMPLOYEES R	HSBC SECURITIES SERVICES 11TH F			18,898	0.01
CC&L Q EMERGING M	HSBC SECURITIES SERVICES 11TH F			9,113	0
AMERICAN CENTURY I	HSBC SECURITIES SERVICES 11TH F			7,053	0

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	11	11
Members (other than promoters)	31,823	49,089
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	2	1	2	1	11.79	4.32
<b>B. Non-Promoter</b>	1	4	1	6	0	0
(i) Non-Independent	1	0	1	1	0	0
(ii) Independent	0	4	0	5	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	5	3	7	11.79	4.32

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dhruv M Sawhney	00102999	Managing Director	23,386,813	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Nikhil Sawhney	00029028	Managing Director	14,760,246	
Tarun Sawhney	00382878	Director	13,972,088	
Arun Prabhakar Mote	01961162	Whole-time director	0	
Homai A Daruwalla	00365880	Director	0	
Anil Kakodkar	03057596	Director	0	
Shailendra Bhandari	00317334	Director	0	
Vijay Kumar Thadani	00042527	Director	0	
Vipin Sondhi	00327400	Additional director	0	
Pulak Chandan Prasad	00003557	Additional director	0	
Lalit Kumar Agrawal	ACYP A7615B	CFO	0	
Rajiv Sawhney	AAAPS4041B	Company Secretary	34,633	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Santosh Pande	01070414	Director	20/09/2021	Cessation due to death

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	15/09/2021	34,914	127	67.93

**B. BOARD MEETINGS**

\*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	08/05/2021	8	8	100
2	28/06/2021	8	8	100
3	13/08/2021	8	8	100
4	26/10/2021	7	6	85.71
5	01/02/2022	8	8	100
6	17/03/2022	10	9	90

#### C. COMMITTEE MEETINGS

Number of meetings held

13

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	27/06/2021	3	3	100
2	Audit Committee	11/08/2021	3	3	100
3	Audit Committee	26/10/2021	2	2	100
4	Audit Committee	01/02/2022	3	3	100
5	Audit Committee	16/02/2022	3	3	100
6	Nomination and Remuneration	08/05/2021	3	3	100
7	Nomination and Remuneration	27/06/2021	3	3	100
8	Nomination and Remuneration	15/12/2021	3	3	100
9	Nomination and Remuneration	17/03/2022	3	3	100
10	Stakeholders Forum	17/03/2022	3	3	100

#### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 23/08/2022 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

1	Dhruv M Sawhney	6	6	100	0	0	0	Yes
2	Nikhil Sawhney	6	6	100	8	8	100	Yes
3	Tarun Sawhney	6	5	83.33	6	5	83.33	Yes
4	Arun Prabhakar	6	6	100	2	2	100	Yes
5	Homai A Daru	6	6	100	12	12	100	Yes
6	Anil Kakodkar	6	6	100	0	0	0	Yes
7	Shailendra Bh	6	6	100	4	4	100	Yes
8	Vijay Kumar T	2	2	100	0	0	0	Yes
9	Vipin Sondhi	1	0	0	0	0	0	Yes
10	Pulak Chanda	1	1	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dhruv M Sawhney	Chairman and M	0	0	0	0	0
2	Nikhil Sawhney	Vice Chairman &	63,532,500			7,140,931	70,673,431
3	Arun Prabhakar Mo	Whole Time Dire	31,995,333			1,607,039	33,602,372
	Total		95,527,833	0	0	8,747,970	104,275,803

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Lalit Kumar Aarwal	CFO	6,986,992	0	0	208,108	7,195,100
2	Rajiv Sawhney	Company Secre	3,376,142	0	0	347,493	3,723,635
	Total		10,363,134	0	0	555,601	10,918,735

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Tarun Sawhney	Director	0	1,500,000	0	580,000	2,080,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
2	Homai A Daruwalla	Director	0	1,600,000	0	1,175,000	2,775,000
3	Anil Kakodkar	Director	0	1,600,000	0	600,000	2,200,000
4	Shailendra Bhandar	Director	0	1,500,000	0	775,000	2,275,000
5	Vijay Kumar Thadar	Director	0	300,000	0	225,000	525,000
6	Santosh Pande	Director	0	800,000	0	475,000	1,275,000
	Total		0	7,300,000	0	3,830,000	11,130,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SURESH KUMAR GUPTA

Whether associate or fellow

Associate  Fellow

Certificate of practice number

5204

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. .. 19 dated 04/08/2016

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

ARUN  
PRABHAKA  
R MOTE

DIN of the director

01961162

**To be digitally signed by**

SURESH  
KUMAR  
GUPTA

Company Secretary

Company secretary in practice

Membership number

5660

Certificate of practice number

5204



**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

Additional Sheet MGT 7 TTL 2022.pdf  
MGT-8 2022 F.pdf  
Addl Sheet Detail FFI 2022.pdf

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

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This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

**TRIVENI TURBINE LTD.**

**VI (c) Details of Foreign Institutional investors' (FIIs) holding shares of the Company 31/03/2022**

SI No.	Name of the FII	Address	Number of shares held	% of shares held
26	SPDR S&P EMERGING MARKETS SMALL CAP ETF	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	93766	0.03
27	CITY OF NEW YORK GROUP TRUST	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063	333422	0.10
28	ALASKA PERMANENT FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	13720	0.00
29	EASTSPRING INVESTMENTS INDIA INFRASTRUCTURE EQUITY OPEN LIMITED	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	773252	0.24
30	ACADIAN EMERGING MARKETS EQUITY UCITS	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	32143	0.01
31	STATE OF WISCONSIN INVESTMENT BOARD - ACADIANEMERGING EQUITY	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	59125	0.02
32	FLORIDA RETIREMENT SYSTEM - ACADIAN ASSET MANAGEMENT, INC.	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	65382	0.02
33	ACADIAN EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	32583	0.01
34	THE BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SYSTEM- ACADIAN ASSET MANAGEMENT	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	43097	0.01
35	ACADIAN EMERGING MARKETS EQUITY II FUND LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	131796	0.04
36	INTEGRATED CORE STRATEGIES ASIA PTE LTD	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	3125	0.00
37	ACADIAN EMERGING MARKETS PORTFOLIO	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	135683	0.04
38	ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	232510	0.07
39	RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY UCITS - ACADIAN ASSET MANAGEMENT	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	12359	0.00
40	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	7795	0.00

41	SAMSUNG INDIA SMALL AND MID CAP FOCUS SECURITIES MASTER INVESTMENT TRUST(EQUITY)	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	400000	0.12
42	TEACHERS' RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - ACADIAN ASSET MANAGEMENT LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	71802	0.02
43	ACADIAN SUSTAINABLE EMERGING MARKETS EQUITY EX-FOSSIL FUEL FUND, LLC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	46696	0.01
44	TEXAS MUNICIPAL RETIREMENT SYSTEM	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	34817	0.01
45	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	43646	0.01
46	AMERICAN CENTURY ETF TRUST- AVANTIS EMERGING MARKETS EQUITY ETF	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	30164	0.01
47	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001	9259	0.00
48	DFA INTERNATIONAL CORE EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	4027	0.00
49	MARVAL GURU FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	468136	0.14
50	GOLDMAN SACHS (SINGAPORE) PTE. - ODI	STANDARD CHARTERED BANK SECURITIES SERVICES OPERATIONS 3RD FLOOR, 23-25, M.G ROAD FORT, MUMBAI, INDIA 400001	85993	0.03
51	HILLSDALE GLOBAL SMALL CAP EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI 400001	96700	0.03
52	CC&L Q INTERNATIONAL SMALL CAP EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI 400001	106	0.00
53	CC&L Q GLOBAL SMALL CAP EQUITY FUND	STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 M.G. ROAD FORT, MUMBAI 400001	303	0.00
54	NALANDA INDIA FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097	18170454	5.62
55	NALANDA INDIA EQUITY FUND LIMITED	C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI 400097	14132439	4.37

56	COPTHALL MAURITIUS INVESTMENT LIMITED - ODI ACCOUNT	J.P.MORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6TH FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	19123	0.01
57	BUMA-UNIVERSAL-FONDS I	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	93468	0.03
58	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	325542	0.10
59	TEACHERS RETIREMENT SYSTEM OF THE CITY OF NEW YORK	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	161893	0.05
60	ABU DHABI INVESTMENT AUTHORITY - XENON	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	36141	0.01
61	QUADRATURE CAPITAL VECTOR SP LIMITED	JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI 400064	22306	0.01
62	INDIA MULTI BAGGER FUND I	C/O APEX FUND AND CORPORATE SERVICES LOT 15 A3 1ST FLOOR CYBERCITY EBENE MAURITIUS 72201	85000	0.03

## TRIVENI TURBINE LIMITED

### IX C. COMMITTEE MEETINGS

S.No	Type of meeting	Date of meeting	Total Number of Members as on the date of meeting	Number of members attended	% of attendance
11	Corporate Social Responsibility Committee	27/06/2021	4	3	75
12	Risk Management Committee	26/10/2021	3	3	100
13	Risk Management Committee	17/03/2022	4	4	100

# SURESH GUPTA & ASSOCIATES

## COMPANY SECRETARIES

204, Silver Complex, A-73, Opp. Metro Pillar No. 60, Near Nirman, Vihar Metro Station,  
Laxmi Nagar, Delhi-110092  
Mob. 9312257002, Ph. 011-43061664, Mail: [sureshguptacs@gmail.com](mailto:sureshguptacs@gmail.com), [www.sureshgupta.in](http://www.sureshgupta.in)

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### Form No. MGT-8

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of  
Companies(Management and Administration) Rules, 2014]**

#### CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

We have examined the registers, records and books and papers of M/s **Triveni Turbine Limited** ("the Listed Company") as required to be maintained under the **Companies Act, 2013 (the Act)** and the rules made there under for the financial year ended on 31<sup>st</sup> March, 2022. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents.

We certify that:

A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. Its status under the Act; Listed Company
2. Maintenance of registers/records & making entries therein within the time prescribed therefore;
3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies, Regional Director, Central Government, Tribunal, Court or other authorities, wherever required, within/beyond the prescribed time in accordance with the provisions of the Act;
4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. The Company has taken record dates wherever required.;
6. The Company has not made any loan and advance to its directors or persons or firms or Companies referred in section 185 of the Act.
7. During the period under review, all related party transactions/contacts are in compliance with Section 177 and 188 of the Act.
8. During the year, there was no issue or allotment, redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of share. The Company has complied with the relevant provisions of the Act regarding transfer/transmission of shares/securities;

**Triveni Turbine Limited ..... 2**

9. There was no instance for keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares;
10. The Board of Directors in its meeting held on 26.10.2021 has declared and paid interim and 1<sup>st</sup> special dividend of INR 1 per equity share of INR 1/- each (100%) during the financial year ended on 31<sup>st</sup> March, 2021-2022. Further, the Company has declared and paid final dividend of 85% (Re 0.85 per equity share of Re. 1/- each) and 2<sup>nd</sup> special dividend of 70% (Re 0.70 per equity share of Re. 1/- each) in the Annual General Meeting for the financial year ended March 31, 2022. All unpaid/unclaimed Dividend upto the financial year 2013-14 has been transferred to the Investor Education and Protection Fund in accordance with Section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. The Company has duly complied with the constitution/ appointment/ re-appointments/ retirement/ disclosures of the Directors, Independent Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment/ re-appointment of auditors as per the provisions of section 139 of the Act;
14. During the year, the Company has taken approvals, if required, from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company has not accepted/renewed deposits.
16. The Company has complied with provisions of the Act & Rules made there under in respect of borrowings from banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;
17. The Company has complied with provisions of the Act & Rules made there under in respect of investments of section 186 of the Act. Further the Company has not entered into any transaction in respect of loans, guarantees given or providing of securities to other bodies corporate falling under the provisions of the Act.
18. The Company has not altered the provisions of its Memorandum and Articles of Association during period under the review.

*For Suresh Gupta & Associates  
Company Secretaries*

  
Suresh Gupta

(Proprietor)  
FCS No.:5660

CP No.:5204

Peer Review Cert. No. 740/2020

UDIN: F005660D001081592



Date: 29.09.2022

Place: Noida